

**Annual Mental Health Services Act Revenue and Expenditure Report  
FY 2013-14 Summary**

**TABLE A**

COUNTY: San Francisco

DATE: 10/26/2015

Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>1 Unspent Funds Available From Prior Fiscal Years</b>											
a	Local Prudent Reserve									\$1,000,000	\$1,000,000
b	FY 2006-07 Funds										\$0
c	FY 2007-08 Funds										\$0
d	FY 2008-09 Funds										\$0
e	FY 2009-10 Funds										\$0
f	FY 2010-11 Funds			\$1,733,185		\$3,701,122					\$5,434,307
g	FY 2011-12 Funds			\$952,151		\$68,945					\$1,021,096
h	FY 2012-13 Funds	\$13,083,817	\$4,468,743	\$1,475,948							\$19,028,508
i	Cumulative Interest	\$91,274	\$32,859	\$31,033		\$27,383					\$182,549
j	<b>TOTAL</b>	\$13,175,091	\$4,501,602	\$4,192,317	\$0	\$3,797,450	\$0	\$0	\$0	\$1,000,000	\$26,666,460
<b>2 MHSA Funds Revenue in FY 2013-14</b>											
a	Transfer of funds from the Local Prudent Reserve									\$0	\$0
b	FY 2013-14 MHSA Revenue Received	\$17,437,914	\$4,359,479	\$1,147,231							\$22,944,624
c	FY 2013-14 Interest Earned on MHSA Funds	\$82,088	\$13,017	\$23,345	\$0	\$13,769	\$0	\$0	\$0	\$5,681	\$137,900
d	<b>TOTAL</b>	\$17,520,002	\$4,372,496	\$1,170,576	\$0	\$13,769	\$0	\$0	\$0	\$5,681	\$23,082,524
<b>3 Expenditure and Funding Sources for FY 2013-14</b>											
<b>A MHSA Funds</b>											
a	FY 2006-07 MHSA Funds										\$0
b	FY 2007-08 MHSA Funds										\$0
c	FY 2008-09 MHSA Funds										\$0
d	FY 2009-10 MHSA Funds										\$0
e	FY 2010-11 MHSA Funds					\$1,373,887					\$1,373,887
f	FY 2011-12 MHSA Funds			\$952,151							\$952,151
g	FY 2012-13 MHSA Funds	\$13,083,817	\$4,468,743	\$278,207							\$17,830,767
h	FY 2013-14 MHSA Funds	\$2,546,535	\$2,101,101		\$1,356,393						\$6,004,030
<b>MHSA Net Expenditures Subtotal for FY 2013-14</b>		\$15,630,352	\$6,569,844	\$1,230,358	\$1,356,393	\$1,373,887	\$0	\$0	\$0		\$26,160,836
<b>B Other Funds</b>											
i	Interest										\$0
a	1991 Realignment	\$2,374,168									\$2,374,168
b	Behavioral Health Subaccount	\$55,632									\$55,632
c	Other	\$5,351,351	\$4,188,131		\$262,006						\$9,801,488
d	<b>TOTAL MHSA and Other Funds</b>	\$23,411,503	\$10,757,975	\$1,230,358	\$1,618,399	\$1,373,887	\$0	\$0	\$0		\$38,392,124
e	<b>Total Program Expenditures</b>	\$23,411,503	\$10,757,975	\$1,230,358	\$1,618,399	\$1,373,887	\$0	\$0	\$0		\$38,392,124

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	N
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Fiscal Year 2013-14		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
<b>4</b>	<b>Transfers to Prudent Reserve, WET, CFTN<sup>4</sup></b>										
	a FY 2011-12	\$0									\$0
	b FY 2012-13	\$0									\$0
	c FY 2013-14	-\$1,356,393			\$1,356,393						\$0
<b>5</b>	<b>Adjustments<sup>5</sup></b>										
	a Local Prudent Reserve										\$0
	b FY 2006-07 Funds										\$0
	c FY 2007-08 Funds										\$0
	d FY 2008-09 Funds										\$0
	e FY 2009-10 Funds										\$0
	f FY 2010-11 Funds										\$0
	g FY 2011-12 Funds										\$0
	h FY 2012-13 Funds	\$2,973									\$2,973
	i FY 2013-14 Funds	\$1,149,730									\$1,149,730
	j Interest										\$0
k	<b>TOTAL</b>	\$1,152,703	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,152,703
<b>6</b>	<b>Unspent Funds in the Local MHS Fund<sup>6</sup></b>										
	a Local Prudent Reserve Balance									\$1,005,681	\$1,005,681
	b FY 2006-07 Funds				\$0						\$0
	c FY 2007-08 Funds				\$0	\$0					\$0
	d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	f FY 2010-11 Funds	\$0	\$0	\$1,733,185	\$0	\$2,327,235	\$0	\$0	\$0	\$0	\$4,060,420
	g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$68,945	\$0	\$0	\$0	\$0	\$68,945
	h FY 2012-13 Funds	\$2,973	\$0	\$1,197,741	\$0	\$0					\$1,200,714
	i FY 2013-14 Funds	\$14,684,715	\$2,258,377	\$1,147,231	\$0	\$0					\$18,090,324
	j Interest	\$173,362	\$45,876	\$54,378	\$0	\$41,152	\$0	\$0	\$0	\$0	\$314,768
k	<b>TOTAL</b>	\$14,861,050	\$2,304,253	\$4,132,535	\$0	\$2,437,331	\$0	\$0	\$0	\$1,005,681	\$24,740,851

TABLE B <sup>7</sup>	
Estimated FFP Revenue Generated In FY 2013-14	Amount
Federal Financial Participation (FFP)	\$1,368,873

RER Contact Person	
<b>Name</b>	Marlo Simmons
<b>Title</b>	MHSA Director
<b>Phone</b>	(415) 255-3915
<b>Email</b>	Marlo.Simmons@stdph.org

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Community Services and Supports (CSS) Summary**

County: **San Francisco** Date: 10/26/2015

	(A)
Community Services and Supports Component	Total (Gross) Mental Health Expenditures
<b>FSP Programs</b>	
1 CSS Full Service Partnership 2. CYF (6-18)	\$989,947
2 CSS Full Service Partnership 3. TAY (18-24)	\$1,319,728
3 CSS Full Service Partnership 4. Adults (18-59)	\$8,798,977
4 CSS Full Service Partnership 5. Older Adults (60+)	\$508,712
5 CSS FSP Permanent Housing (capital units and master lease)	\$583,468
6 FSP clients served by Peer-to-Peer Supports: Clinic and Community	\$409,041
7 FSP clients served by Vocational Services (30% FSP)	\$70,771
8 FSP clients served by Emergency Stabilization Housing (60% FSP)	\$136,412
9 FSP clients served by Housing Placement and Supportive Services	\$14,064
10 FSP clients served by ROUTZ TAY Transitional Housing (60% FSP)	\$389,592
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Subtotal FSP Programs	\$13,220,712
<b>Non-FSP Programs</b>	
1 CSS Other Non-FSP 1. Behavioral Health Access Center	\$856,077
2 CSS Other Non-FSP 2. Prevention and Recovery in Early Family	\$941,927
3 CSS Other Non-FSP 3. Trauma Recovery	\$440,671
4 CSS Other Non-FSP 4. Integration of Behavioral Health and	\$946,847
5 CSS Other Non-FSP 5. Integration of Behavioral Health and	\$1,310,361
6 CSS Other Non-FSP 6. Dual Diagnosis Residential Treatment	\$83,398
7 CSS Other Non-FSP 7. Peer-to-Peer Supports: Clinic and Community	\$2,775,993
8 CSS Other Non-FSP 8. Vocational Services (30% FSP)	\$165,131
9 CSS Other Non-FSP 9. Emergency Stabilization Housing (50% FSP)	\$136,412
10 CSS Other Non-FSP 10. Housing Placement and Supportive Services	\$32,817
11 CSS Other Non-FSP 11. ROUTZ TAY Transitional Housing	\$259,728
12 CSS Other Non-FSP 12. Expanding Outpatient MH Clinic	\$229,903
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Subtotal Non-FSP Programs	\$8,179,264
<b>Total FSP and Non-FSP Programs</b>	\$21,399,976
<b>CSS Evaluation</b>	\$346,822
<b>CSS Administration</b>	\$1,664,705
<b>CSS MHSA Housing Program Assigned Funds</b>	
<b>Total CSS Expenditures</b>	\$23,411,503

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Prevention and Early Intervention (PEI) Summary**

County: San Francisco

Date:

10/26/2015

Prevention and Early Intervention Component	(B) Total (Gross) Mental Health Expenditures
<b>PEI Programs-Prevention</b>	
1 PEI 2. School-Based Mental Health Pomotion (K-12)	\$509,141
2 PEI 3. School-Based Mental Health Pomotion (Higher Ed)	\$151,117
3 PEI 4. Population Focused Mental Health Promotion and Early Intervention	\$1,565,097
4 PEI 5. Mental Health Consultation and Capacity Building	\$828,815
5 PEI 6. Comprehensive Crisis Services	\$63,281
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Subtotal PEI Programs-Prevention	\$3,117,452
<b>PEI Programs-Early Intervention</b>	
1 PEI 2. School-Based Mental Health Pomotion (K-12)	\$509,141
2 PEI 3. School-Based Mental Health Pomotion (Higher Ed)	\$151,489
3 PEI 4. Population Focused Mental Health Promotion and Early Intervention	\$3,061,147
4 PEI 5. Mental Health Consultation and Capacity Building	\$3,000,853
5 PEI 6. Comprehensive Crisis Services	\$459,189
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Subtotal PEI Programs-Prevention	\$7,181,820
<b>PEI Programs-Other</b>	
1 PEI 1. Stigma Reduction	\$179,057
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Subtotal PEI Programs-Other	\$179,057
<b>Subtotal PEI Programs-Prevention &amp; Early Intervention and Other</b>	\$10,478,328
<b>PEI Evaluation</b>	\$136,835
<b>PEI Administration</b>	\$142,812
<b>Total PEI Expenditures</b>	\$10,757,975

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Innovation (INN) Summary**

**County:** San Francisco

**Date:**

10/26/2015

<b>Innovation Component</b>	<b>(C) Total (Gross) Mental Health Expenditures</b>
<b>Innovation Programs</b>	
1 INN 7. Peer-Led Hoarding and Cluttering Support	\$215,735
2 INN 11. WAIST Nutrition Project	\$224,773
3 INN 12. Building Bridges Clinic/School of Linking	\$349,682
4 INN 14. First Impressions	\$246,869
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<b>Subtotal</b>	\$1,037,059
<b>Innovation Evaluation</b>	\$0
<b>Innovation Administration</b>	\$193,299
<b>Total Innovation Expenditures</b>	<b>\$1,230,358</b>

**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Workforce Education and Training (WET) Summary**

**County:** San Francisco **Date:** 10/26/2015

<b>Workforce Education and Training Component</b>	<b>(D) Total (Gross) Mental Health Expenditures</b>
<b>WET Funding Category</b>	
Workforce Staffing Support	
Training and Technical Assistance	\$707,334
Mental Health Career Pathways Programs	\$482,415
Residency and Internship Programs	\$268,868
Financial Incentive Programs	
<b>Total WET Programs</b>	<b>\$1,458,617</b>
<b>WET Administration</b>	<b>\$159,782</b>
<b>Total WET Expenditures</b>	<b>\$1,618,399</b>

**Annual Mental Health Services Act Revenue and Expenditure Report  
Fiscal Year 2013-14  
Capital Facilities/Technological Needs (CF/TN) Summary**

**County:** San Francisco **Date:** 10/26/2015

<b>Capital Facility/Technological Needs Projects</b>	<b>(E) Total (Gross) Mental Health Expenditures</b>
<b>Capital Facility Projects</b>	
1 Cap 1. Silver Avenue FHC/South East Child & F	\$6,392
2 Cap 3. Sunset Mental Health	\$157,124
3 Cap 4. IHHC at Central YMCA (Tom Waddell)	\$91,180
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<b>Total CF Projects</b>	<b>\$254,696</b>
<b>Capital Facility Administration</b>	
<b>Total Capital Facility Expenditures</b>	<b>\$254,696</b>
<b>Technological Needs Projects</b>	
1 IT 1. Consumer Portal	\$84,753
2 IT 2. Vocational IT	\$511,530
3 IT 3. System Enhancements	\$342,600
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<b>Total TN Projects</b>	<b>\$938,883</b>
<b>Technological Needs Administration</b>	<b>\$180,308</b>
<b>Total Technological Needs Expenditures</b>	<b>\$1,119,191</b>
<b>Total CFTN Expenditures</b>	<b>\$1,373,887</b>



**Annual Mental Health Services Act Revenue and Expenditure Report for  
Fiscal Year 2013-14  
Adjustments Summary**

**County:** \_\_\_\_\_

**Date:** 10/26/2015

FY	Amount	Reason For Adjustment
FY 12-13	\$2,973	Adjusting for Cost Report Settlement - CSS component.
FY 07-08	\$143,385	Adjusting for estimated civil service FFP generated in FY 07-08 that was applicable to MHSA funds - CSS component.
FY 08-09	\$405,313	Adjusting for estimated civil service FFP generated in FY 08-09 that was applicable to MHSA funds - CSS component.
FY 09-10	\$601,031	Adjusting for estimated civil service FFP generated in FY 09-10 that was applicable to MHSA funds - CSS component.
<b>TOTAL</b>	<b>\$1,152,703</b>	
	<b>\$1,152,703</b>	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet MUST match Total Adjustments recorded on the RER Summary Worksheet. If ERROR, recheck and correct.

# MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION<sup>1</sup>

County/City: San Francisco

- Three-Year Program and Expenditure Plan
- Annual Update
- Annual Revenue and Expenditure Report

<p align="center"><b>Local Mental Health Director</b></p> <p>Name: <u>Jo Robinson, MFT</u>          Telephone Number: <u>(415) 255-3440</u>          E-mail: <u>jo.robinson@sfdph.org</u></p>	<p align="center"><b>County Auditor-Controller / City Financial Officer</b></p> <p>Name: <u>Ben Rosenfield</u>          Telephone Number: <u>(415) 554-7500</u>          E-mail: <u>ben.rosenfield@sfgw.org</u></p>
<p>Local Mental Health Mailing Address:  <u>1380 Howard Street, 5<sup>th</sup> Floor, San Francisco, CA 94103</u></p>	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the MHSA. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

Jo Robinson, MFT  
 Local Mental Health Director (PRINT)

[Signature] 10-26-15  
 Signature Date

I hereby certify that for the fiscal year ended June 30, 2014, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 11/25/2014 for the fiscal year ended June 30, 2014. I further certify that for the fiscal year ended June 30, 2014, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Ben Rosenfield  
 County Auditor Controller / City Financial Officer (PRINT)

[Signature] 10-26-15  
 Signature Date

<sup>1</sup> Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)  
 Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)