

I. PENDING SURVEYS

Survey	Frequency	Anticipated Timeframe
CMS Outpatient Dialysis Recertification	Annual	Unannounced anytime/ overdue since 2019
CDPH 4A Licensing	Triennial	Unannounced anytime/ overdue since 2022
CDPH General Acute Care Hospital Licensing	Triennial	Unannounced anytime
TJC Hospital Accreditation and Nursing Care Center Surveys	Triennial	Unannounced anytime/ window is 11/7/2022-5/7/2024
Commission on Cancer	Triennial	Scheduled/ March 28, 2024
TJC Laboratory Survey	Biennial	Window March 25 – June 25, 2024

II. SURVEY ACTIVITY

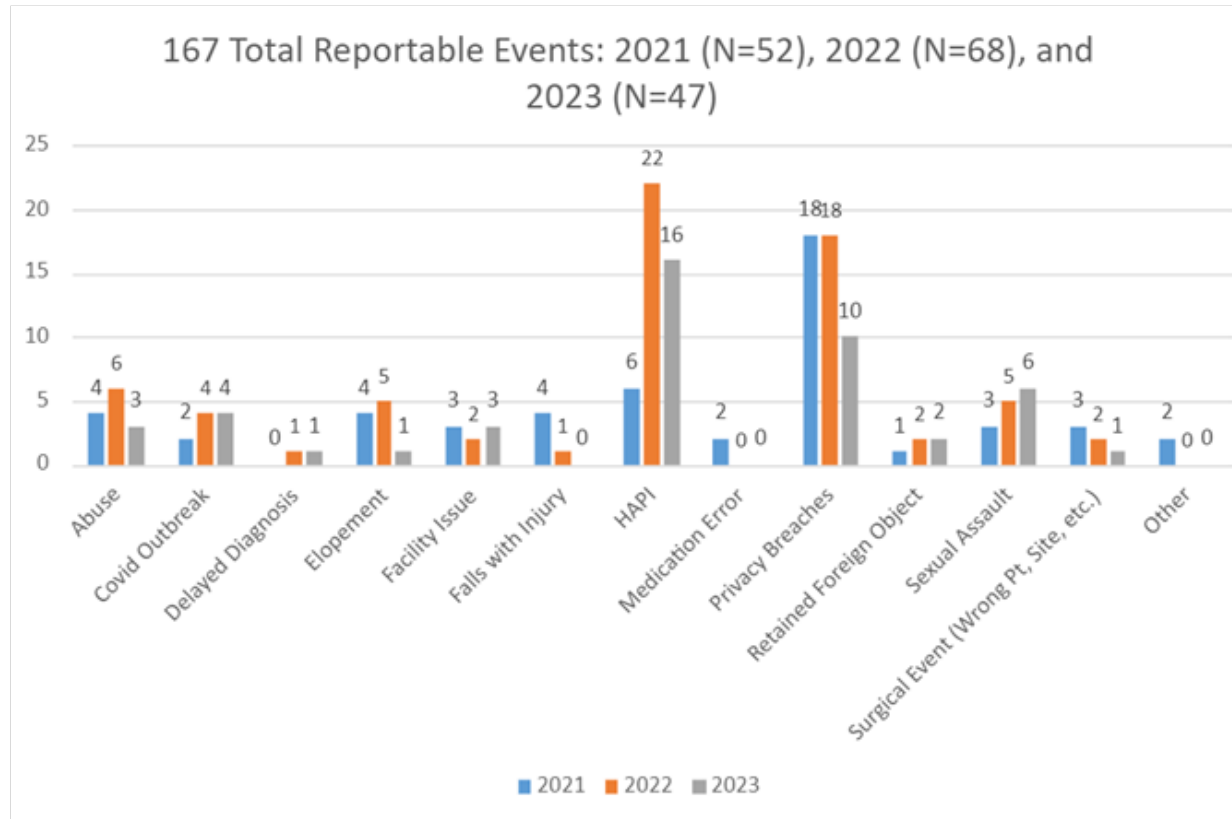
Survey Date	Agency	Location Surveyed	Details
11/8-9/23	Baby Friendly Survey	Family Birth Center	Awaiting final report
11/20/23	EMSA Site Visit	ZSFG Trauma Program/ED	Awaiting summary

III. PLANS OF CORRECTION SUBMITTED

Survey (year) or Event (date of incident)	Finding Requiring Monitoring
N/A	1. N/A

IV. CDPH CASES – Facility Reported Events

**New Facility Reported Events, Complaints, Privacy Breaches
 (As of November 2023)**



V. NEW FACILITY REPORTED INCIDENTS (FRI)

3 Privacy Breaches, 1 HAPI, 1 Covid Outbreak, and 1 Sexual Assault Allegation

Date Submitted:	Date of Incident	Location	Event Details
11/15/23	10/20/23	OHS	Privacy Breach: 2 unencrypted emails containing PHI sent to incorrect address
11/16/23	10/29/23	Inpatient SW	Privacy Breach: PHI disclosed to unauthorized party
11/17/23	11/8/23	H66	Sexual Assault Allegation: Unsubstantiated
11/23/23	11/15/23	H42	HAPI: Stage 3
11/22/23	11/18/23	4A	Covid Outbreak
11/28/23	July – October 2023	DET	Privacy Breach: Unencrypted emails containing sensitive PHI of 14 patients sent to translation vendor

VI. PLAN OF CORRECTION MONITORING DATA

Survey or Event	Finding Requiring Monitoring	Monitoring
July 27, 2023 CMS Verification Survey – 4A	1. Pneumonia vaccine not offered to one patient	1. 100% of patients were offered pneumococcal vaccine and relevant education during weekly audits between August and November 2023. Monitoring of this action item is complete.