

I. PENDING SURVEYS

| Survey | Frequency | Anticipated Timeframe |
|--|--------------|---|
| CMS Outpatient Dialysis Recertification | Annual | Unannounced anytime/ overdue since 2019 |
| CDPH 4A Licensing | Triennial | Unannounced anytime/ overdue since 2022 |
| CDPH General Acute Care Hospital Licensing | Triennial | Unannounced anytime |
| TJC Hospital Accreditation and Nursing Care Center Surveys | Triennial | Unannounced anytime/ window is 11/7/2022-5/7/2024 |
| Baby-Friendly Hospital Status Recertification | 5 Year Cycle | Schedule/ November 8-9, 2023 |

II. SURVEY ACTIVITY

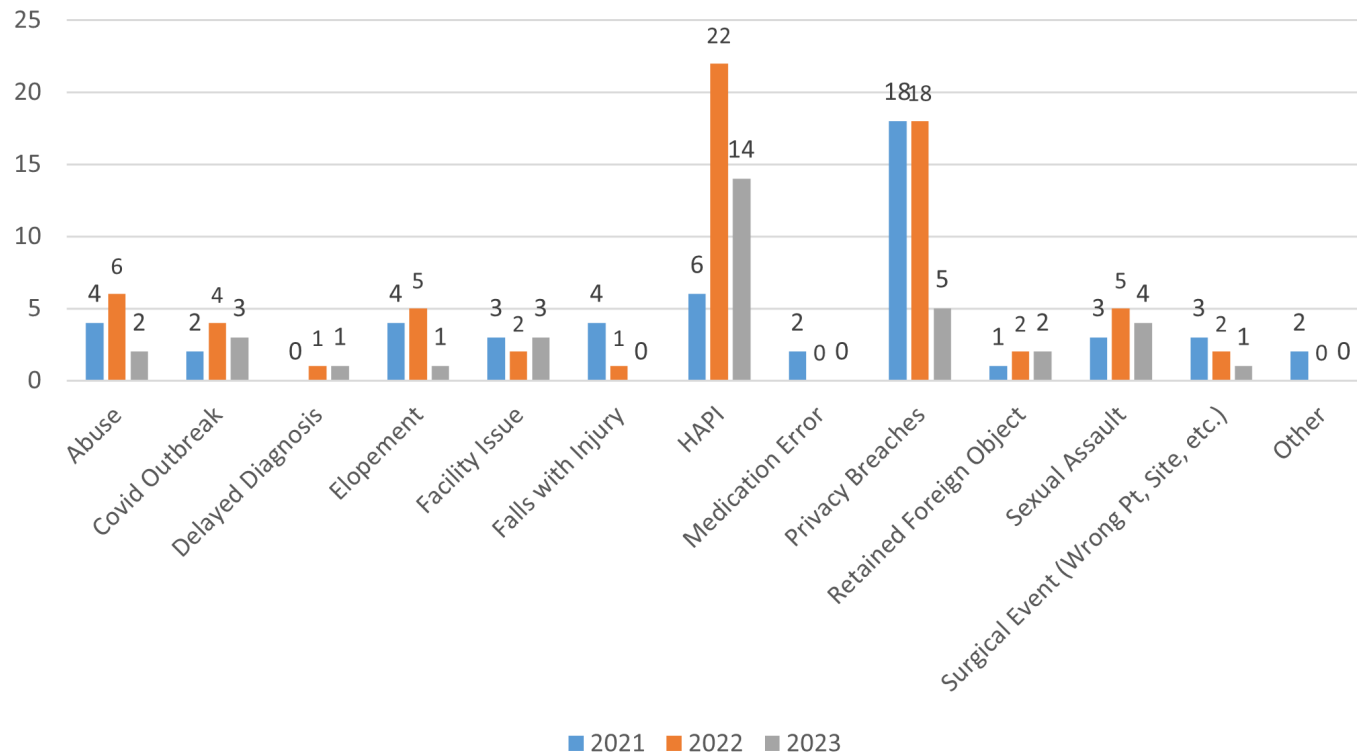
| Survey Date | Agency | Location Surveyed | Details |
|-------------|--------|-------------------|---|
| 7/12-13/23 | ACS | ZSFG | Trauma Survey |
| 7/24-25/23 | DHCS | BHC – MHRC | Annual Survey (Continued) |
| 7/27/23 | CMS | 4A SNF | Verification Survey Following CDPH Survey |

III. PLANS OF CORRECTION SUBMITTED

| Survey (year) or Event (date of incident) | Finding Requiring Monitoring |
|--|---|
| 6/5-9/2023 4A-SNF Re-Certification Annual Survey | <ol style="list-style-type: none"> Diet report not verified by RN before served to patients Expired lab tubes in medication room Dirty ceiling vents in pantry Co-mingled patient and staff food in staff break room freezer Pink substance found in pantry ice machine chute Expired food in main kitchen walk-in PPE cart stored too closely to dirty linen hamper on unit Lack of sufficient qualified dietary staff designated to facility No full-time infection preventionist designated to facility |
| 6/12/2023 4A-SNF Fire Life Safety Survey | <ol style="list-style-type: none"> Fire alarm system in trouble mode upon surveyor arrival (cleared before departure) Fire sprinkler visual inspections not documented Fire door did not positively latch under own power when closing Fire door blocked from closing by dirty linen hamper No evidence of last update to facility emergency preparedness plan |

IV. CDPH CASES – Facility Reported Events

156 Total Reportable Events in 2021 (N=52), 2022 (N=68), and 2023 (N=36)



V. NEW FACILITY REPORTED INCIDENTS (FRI)

6 New Reportable Incidents (July 2023): 2 HAPIs, 2 Sexual Assaults, 1 RFO, 1 Delayed Diagnosis

| Date Submitted: | Date of Incident | Location | Event Details |
|-----------------|------------------|----------|---|
| 7/7/23 | 7/3/23 | 7B | Sexual Assault (buttocks touch) |
| 7/13/23 | 7/11/23 | H62 | HAPI – Unstageable Right Ischium |
| 7/14/23 | 7/10/23 | H68 | HAPI – Stage 3 Coccyx |
| 7/14/23 | 7/4/23 | H22 | Retained Vaginal Sponge |
| 7/17/23 | 7/13/23 | 7C | Sexual Assault (buttocks touch) |
| 7/17/23 | 7/12/23 | ZSFG | Delayed Diagnosis - Spinal Epidural Abscess |

VI. PLAN OF CORRECTION MONITORING DATA

No reportable POC monitoring data as ALCC did not convene in July 2023. Update will be provided in September report.
