

## Joint Conference Committee Regulatory Affairs Status Report for December 2021 Meeting

### I. PENDING SURVEYS

- |  |   |
|--|---|
| 1. <b>TJC Biennial Laboratory Accreditation Survey</b> | Anticipate after June/July 2021                       |
| 2. <b>CMS 4A SNF Recertification</b>                   | Anticipate any time                                   |
| 3. <b>CMS 4A SNF Fire Life Safety</b>                  | Anticipate 2-3 weeks after SNF Recertification Survey |
| 4. <b>CMS Dialysis Recertification</b>                 | Anticipate any time                                   |
| 5. <b>CDPH Rehab Licensing Survey</b>                  | Anticipate January 10, 2022                           |

### II. COMPLETED SURVEYS

- |   |               |                                 |
|---|---------------|---------------------------------|
| 1. <b>CDPH VFC at FHC</b>               | 11/15/2021    | Closed- No Deficiencies         |
| 2. <b>OBOT DHCS</b>                     | 11/16-18/2021 | Closed- Official Report Pending |
| 3. <b>FRI</b> Fall with Injury H64      | 10/29/2020    | Closed- No Deficiencies         |
| 4. <b>FRI</b> Fall with Hip Fracture ED | 4/13/2021     | Closed- No Deficiencies         |

### III. PLANS OF CORRECTION

None

### IV. OPEN SITE VISITS – Investigation commenced by CDPH

- |  |            |   |
|--|------------|---|
| 1. <b>Complaint</b> ED Staffing  | 1/2/2020   | Investigation resumed by different surveyor 6/08/21 |
| 2. <b>FRI</b> Patient Death following Med Error  | 4/17/2020  | Ongoing – Anticipate Deficiencies                   |
| 3. <b>FRI</b> Wrong Site Surgery OR Kidney Stent   | 4/5/2020   | Documents Requested                                 |
| 4. <b>Privacy Breach</b> 7L  | 3/15/2020  | Pending Visit                                       |
| 5. <b>Privacy Breach</b> HIS   | 4/24/2020  | Pending Visit                                       |
| 6. <b>ABUSE</b> Allegation of Staff to Resident Abuse 4A                                 | 6/1/2020   | Documents Requested                                 |
| 7. <b>FRI</b> Wrong Site Surgery OR Kidney Stent   | 6/8/2020   | Documents Requested and onsite survey commenced     |
| 8. <b>ABUSE</b> Allegation of Staff to Resident Abuse 4A                                 | 7/17/2020  | Documents Requested                                 |
| 9. <b>FRI</b> HAPI H38   | 8/19/2020  | Documents Requested                                 |
| 10. <b>FRI</b> Fall sustaining fractured mandible H54                                    | 8/13/2020  | Documents Requested                                 |
| 11. <b>FRI</b> Fall, 4A  | 9/8/2020   | Pending Visit                                       |
| 12. <b>Privacy Breach</b> 3D – appointment information sent to wrong patient             | 9/8/2020   | Pending Visit                                       |
| 13. <b>Privacy Breach</b> PHI sent via email to incorrect patient                        | 10/4/2020  | Information Requested                               |
| 14. <b>FRI</b> Alleged Sexual Assault 7B   | 11/10/2020 | Pending Visit                                       |
| 15. <b>Privacy Breach</b> Test Result provided to patient and relative overheard ED      | 11/02/2020 | Pending Visit                                       |
| 16. <b>FRI</b> Retained Foreign Object H22   | 11/17/2020 | Referred to Joint Commission for Follow Up          |
| 17. <b>FRI</b> HAPI H34  | 11/17/2020 | Pending Visit                                       |
| 18. <b>FRI</b> Fall with Injury 4A   | 2/10/2021  | Pending Visit                                       |
| 19. <b>Privacy Breach</b> PES Allegation that phi was released without consent           | 7/16/2020  | Pending Visit                                       |
| 20. <b>Privacy Breach</b> 7B staff provided information via phone without consent        | 1/29/2021  | Information Requested                               |
| 21. <b>Privacy Breach</b> PACU medication given to wrong patient (drug was correct drug) | 2/2/2021   | Information Requested                               |

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22.	<b>Privacy Breach</b>	H76/78 Misplaced patient list and labels	2/10/2021 Information Requested
23.	<b>Privacy Breach</b>	7B appointment slip given to wrong patient	2/12/2021 Information Requested
24.	<b>Privacy Breach</b>	H25 medication given to wrong patient (drug was correct drug)	2/20/2021 Information Requested
25.	<b>FRI</b>	Medication Error ICU	3/23/2021 Pending Visit
26.	<b>Abuse</b>	Resident to Resident 4A	4/7/2021 Pending Visit
27.	<b>FRI</b>	Product/Device – Blood Transfusion Event IR	4/3/2021 Pending Visit
28.	<b>FRI</b>	HAPI Right Heel, H54	4/8/2021 Pending Visit
29.	<b>FRI</b>	HAPI Left Heel H62	4/22/2021 Pending Visit
30.	<b>Privacy Breach</b>	Merged information records with a different patient	4/23/2021 Pending Visit
31.	<b>Complaint</b>	Infection Control – 4M	6/10/2021 Pending Visit
32.	<b>FRI</b>	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021 Documents requested
33.	<b>Privacy Breach</b>	Patient's EKG results sent to wrong cell phone number	6/15/2021 Pending Visit
34.	<b>Privacy Breach</b>	Merged information records with a different patient	5/7/2021 Pending Visit
35.	<b>FRI</b>	Gastric perforation post ET insertion during intubation	7/17/2021 Pending Visit
36.	<b>FRI</b>	GI perforation post G-tube placement	7/25/2021 Pending Visit
37.	<b>Privacy Breach</b>	Unauthorized disclosure by staff member, Peri-Op	7/28/2021 Pending Visit
38.	<b>FRI</b>	Surgical Complication during spinal surgery	8/3/2021 Pending Visit
39.	<b>Complaint</b>	Consumer complaint regarding a physician	8/10/2021 Pending Visit
40.	<b>FRI</b>	Elopement/AWOL ED	8/18/2021 Pending Visit
41.	<b>Privacy Breach</b>	Unauthorized disclosure of a staff member's PHI, 6M	8/26/2021 Pending Visit
42.	<b>FRI</b>	HAPI Stage 2 coccyx	8/29/2021 Pending Visit
43.	<b>Privacy Breach</b>	Mis-mailed PHI regarding upcoming procedure, 3D	9/1/2021 Pending Visit
44.	<b>FRI</b>	Patient to Patient Sexual Assault	9/3/2021 Referred to Joint Commission for Follow Up
45.	<b>FRI</b>	Alleged Staff to Patient Sexual Assault H54	9/15/2021 Pending Visit
46.	<b>FRI</b>	Elopement/AWOL H34	9/18/2021 Pending Visit
47.	<b>FRI</b>	HAPI Stage 3 coccyx H62	9/28/2021 Pending Visit
48.	<b>FRI</b>	HAPI Stage 3 parietal H56	9/28/2021 Pending Visit
49.	<b>FRI</b>	Fall with intertrochanteric fracture 7C	10/7/2021 Pending Visit
50.	<b>FRI</b>	HAPI Unstageable left hip H44	10/25/2021 Pending Visit
51.	<b>FRI</b>	HAPI Unstageable coccyx and left ischium	9/29/2021 Pending Visit
52.	<b>FRI</b>	Retained penrose drain from surgery in May 2020	11/2/2021 Pending Visit
<b>V. NEW FACILITY REPORTED INCIDENTS (FRI)</b>			
		<b>COMPLAINT:</b> submitted by public.	<b>FRI:</b> Facility Reported Incident. <b>ABUSE:</b> Long Term Care CMS self report
1.	<b>Privacy Breach</b>	Unauthorized disclosure of PHI to family member	10/25/2021
2.	<b>Privacy Breach</b>	Mis-mailed PHI regarding upcoming procedure, 3D	11/10/2021
<b>VI. COMPLETED SURVEY SUMMARY</b>			

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No additional information.

### VII. PLAN OF CORRECTION MONITORING DATA

#### Joint Commission TBI Certification Survey

Neuro Checks

Compliance is >90%

Continue to monitor

A. Winkelman

A pop-up reminder in Epic for when frequent checks are due was implemented on 9/1/21 along with daily reminders in team huddles. Previous partial compliance in documentation for neuro checks has improved in October.

#### Joint Commission Hospital Accreditation Program Survey

Instrumentation Outside of the OR

Compliance is >90% for 3 months

Monitoring completed

P. Coggan/G. Otway/R. Ferrer

Administration of Pitocin, As Ordered

Compliance is >90% for 3 months

Monitoring completed

G. Otway

Storage of Medical Supplies

Compliance is >90% for 3 months

Monitoring completed

L. Holpit

Restraint Orders and Renewals

Compliance is >90% for 3 months

Monitoring completed

L. Holpit

Pre/Post Pain Assessments Related to Medication Administration

Compliance is >90%

Continue to monitor

L. Holpit

Interpreter Use Documentation

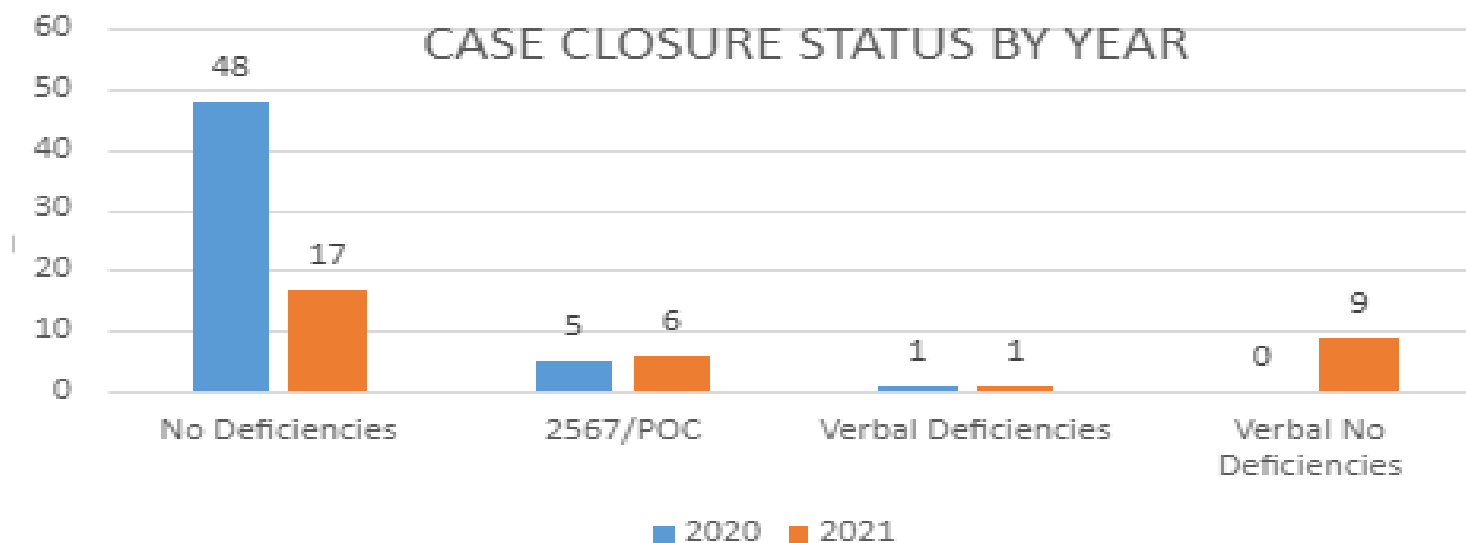
Compliance is <90%

Continue to monitor

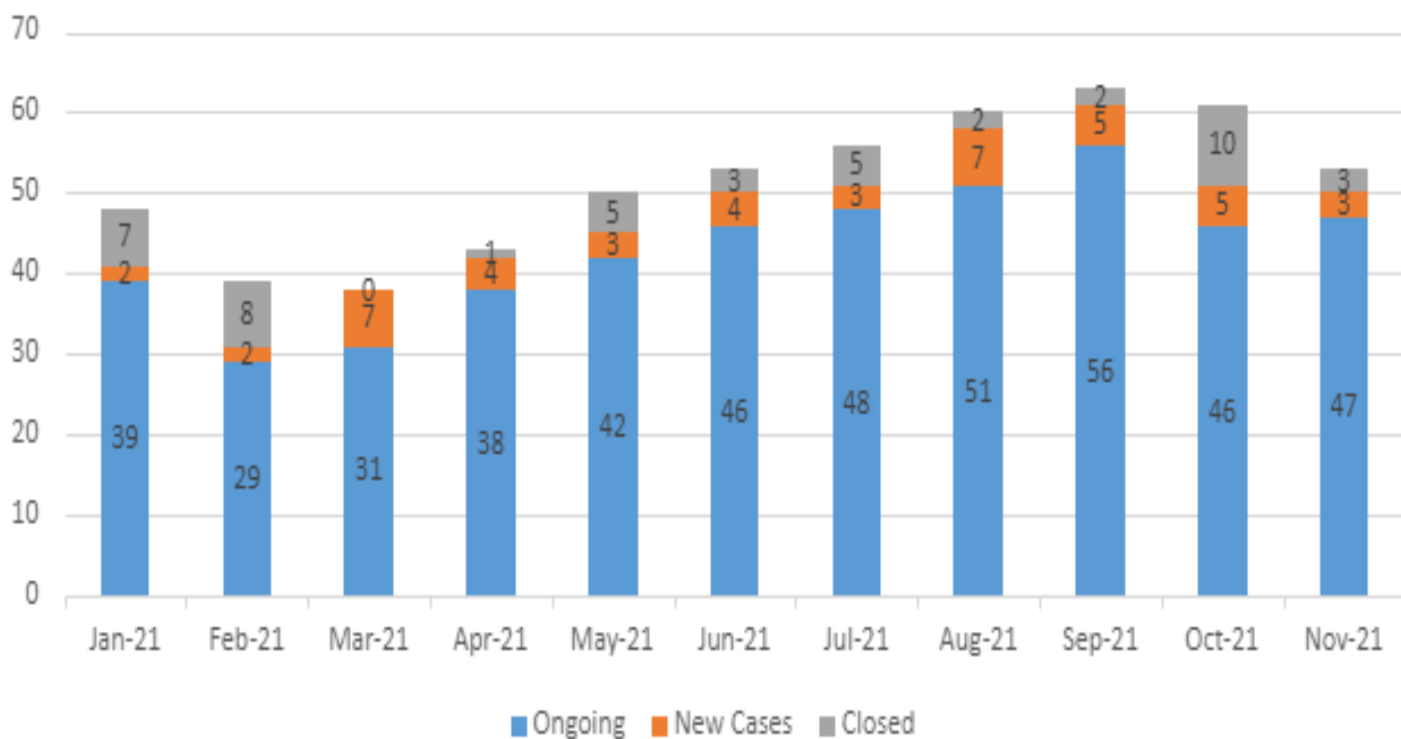
D. Staconis

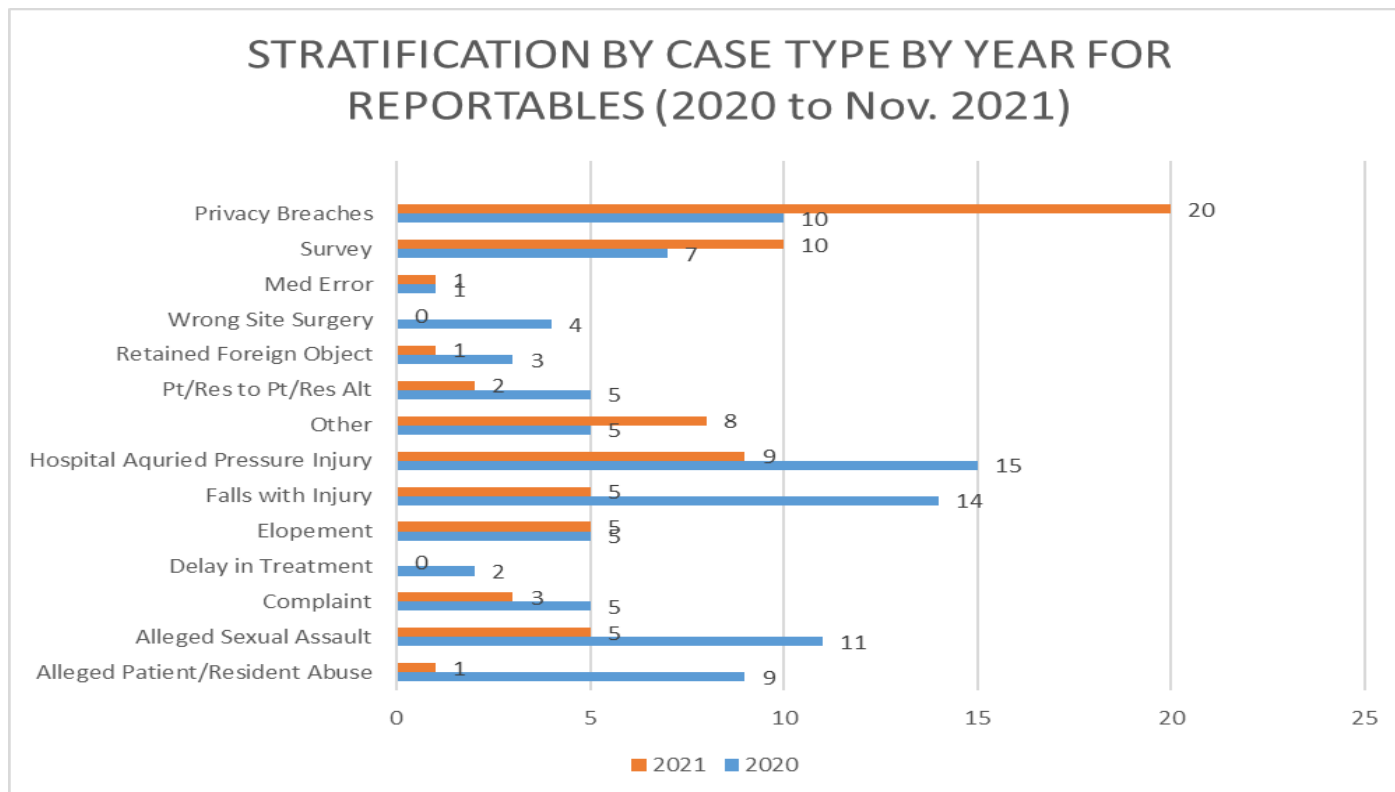
Staff re-education and on-going coaching. In the process of modifying interpreter template in Triage Narrator and ED Navigator.

### VIII. DATA/GRAPHS

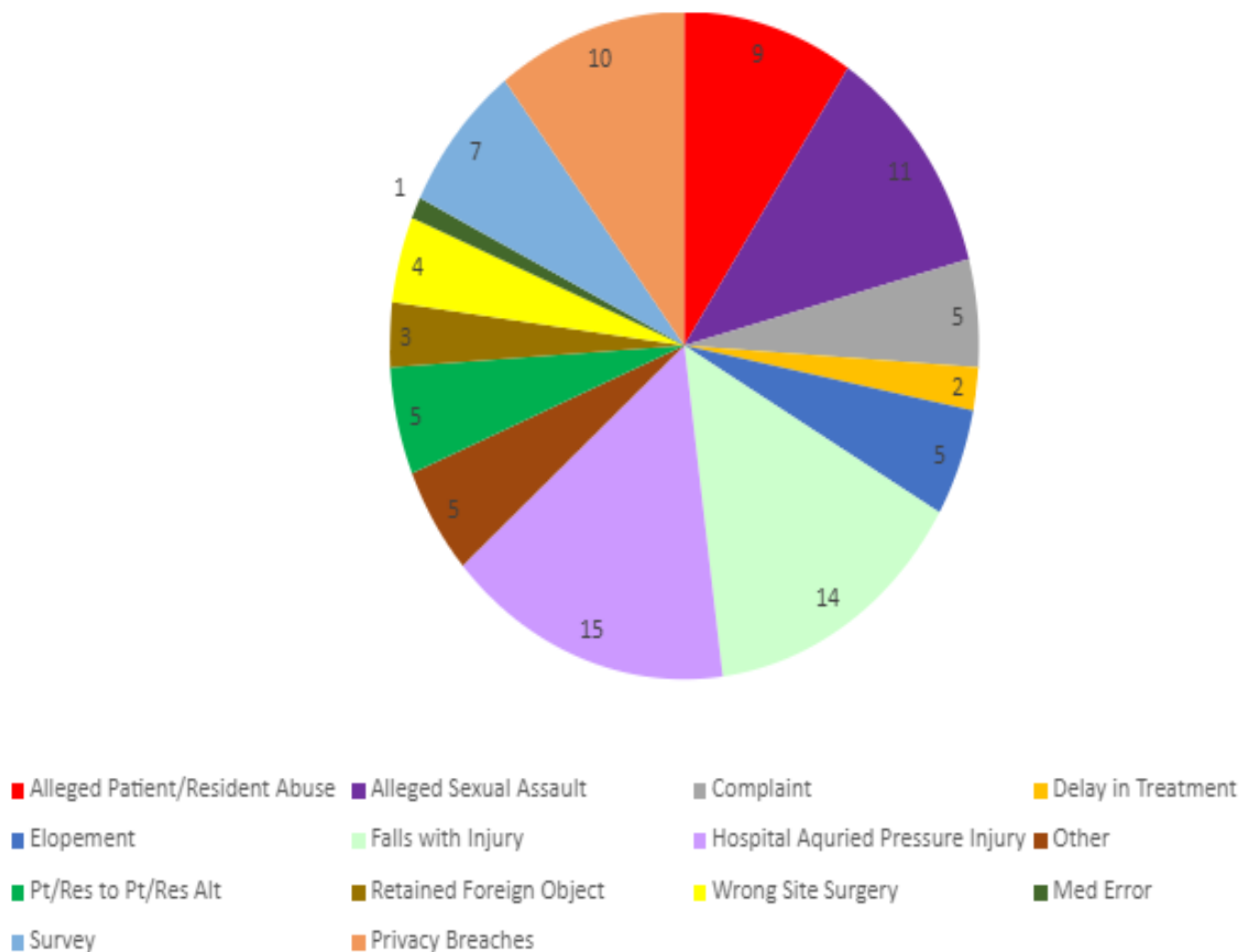


OVERVIEW OF CASE STATUS BY MONTH (Jan. to Nov. 2021)





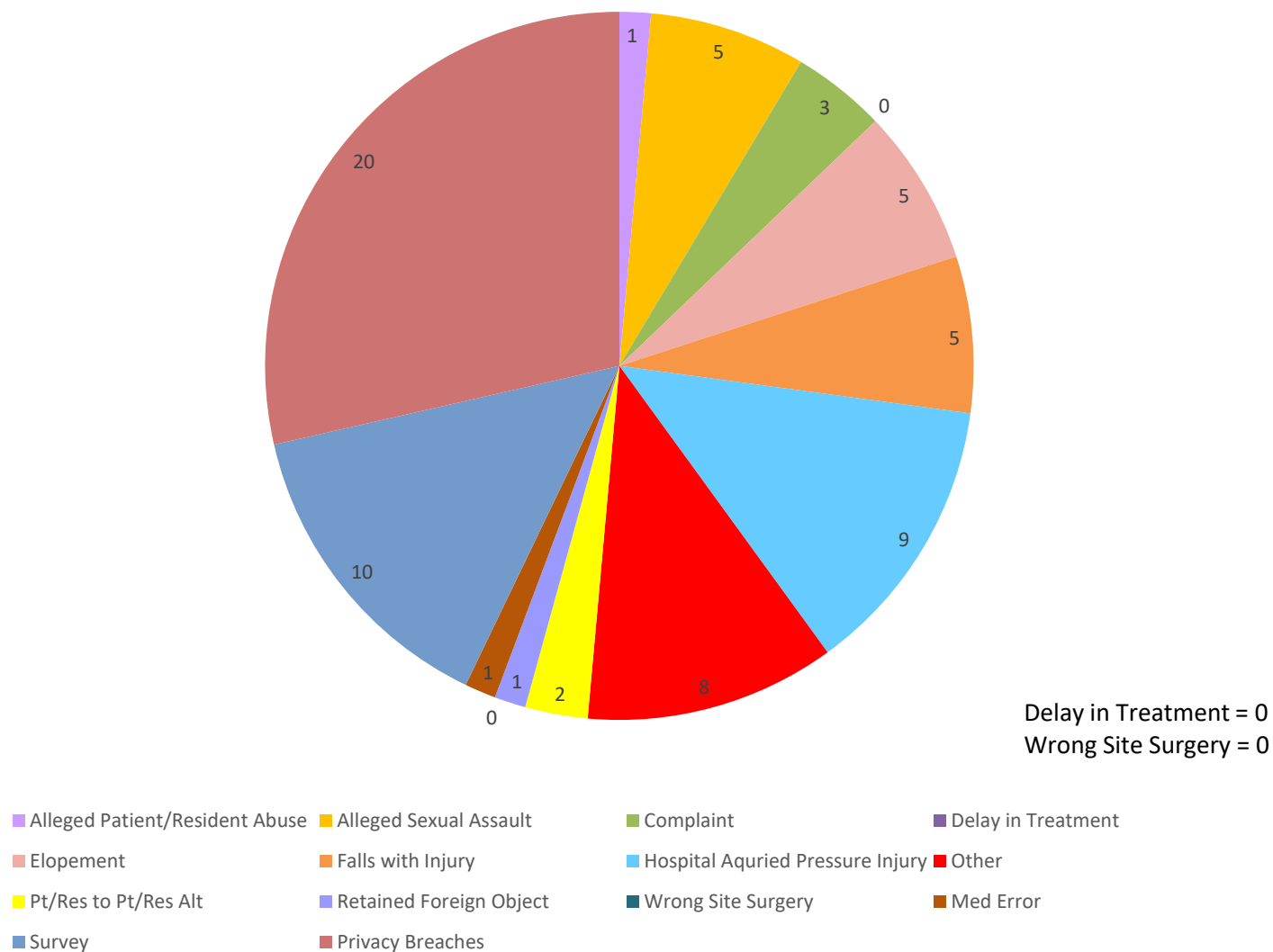
STRATIFICATION BY CASE TYPE OF TOTAL REPORTABLES (2020); N=96



Cases categorized as Other includes: regulatory agency notifications for outbreaks, unplanned maintenance, complications related to procedures performed.

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STRATIFICATION BY CASE TYPE OF TOTAL REPORTABLES (2021); N=70



Cases categorized as Other includes: regulatory agency notifications for outbreaks, unplanned maintenance, complications related to procedures performed.